Damage detection and localization in composite beam structures based on vibration analysis

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1. Introduction

Composite materials are nowadays increasingly used as an alternative to conventional materials, because of their high strength, weight saving, specific rigidity, and mechanical flexibility especially in the aerospace industry. The aim of vibration based damage detection techniques is to determine the occurrence of structural damage, its location and severity. The information produced by a damage assessment process can play a vital role in the development of economical repair and retrofit program. Ryter [1] proposed a classification in order to allow a comparison between different techniques, which consists of four levels. The first level is the detection, the second level is the localization, the third level is the assessment, and finally the fourth level, which is the consequence of damage, predicts the remaining life and the actual safety of the structure in a certain state of damage. The complete health state of a structure can be determined based on presence, location, type and severity of damage (diagnostics) and estimation of remaining useful life (prognostics) [2]. The concept of dynamical invariants [3] in the SHM methodology is called Beacon-based Exception Analysis for Multi-missions (BEAM).

Methods of identification of defects and their analysis in a qualitative relation to the location of defect and its importance have been studied in literature [4-5]. Noise does not affect stable low-order dynamical models that can be created using POD based low-order model for fault detection [6].

POD provides the most efficient way of capturing the dominant components of an infinite-dimensional process with only (often surprisingly) few modes. Various applications of POD to structural dynamics were carried out in the literature [7-11]. The diagnostics of various machines and mechanisms is an important problem to determine the damaged structural elements. Solving of such problem, for example use the method of resonance frequencies, the damaged structural element is judged by the deviation of resonance frequency [12]. The location of damage in the structure is more complicated for certain class of structure, e.g. a beam-like structure, using vibration analysis. In this approach, the beam structure is successively loaded with mass. A harmonic force is used to excite the structure in the loaded zones. The detection and localization of damage are indicated by the relationship between vibration amplitudes of the additional mass and its location [13]. Damage detection of a bridge structure based on computer simulations of static displacement or strain data using POD [14] and finite element analysis had shown a success detection. The damage indicator based on mode shape data was introduced [15] to identify damage in beam-like structures. A two-step procedure for damage detection in structures from changes in curvature mode shapes was proposed [16]. The damage identification and localization of some complex mechanical system described in terms of reduced number of modes using finite elements was reported [17], where an isolation procedure to describe these parameters was followed. Mathematical simulations of structural elements and dynamic behaviour due to loss of stiffness at damaged area were presented [18].

The most existing damage detection study was based on modal curvature and investigated the indicator value changes between the intact and damaged states [19]. The processing of nonlinear features associated with a damage event by quadratic time frequency distributions for damage identification in a frame structure were studied [20].

A simple method for determining the stiffness matrix of structural and mechanical systems using measured natural frequencies and corresponding mode shapes was proposed [21]. The use of natural frequency shifts for damage identification was proposed in several research works, where the success of this parameter in the case of a single damage location was proven [22]. The first four natural frequencies of a simulated cantilever beam were used to locate a single crack [23]. The identification of a single crack in an experimental single story frame from shifts in the first three natural frequencies was investigated [24] using a damage identification algorithm to locate and identify the size of a single crack. The identification of a single crack in a vibrating rod based on knowledge of the damage induced shifts of a pair of natural frequencies was investigated [25]. A damage identification methodology based on natural frequency changes to a numerical model of a beam on an elastic foundation was studied [26].

Current electrodynamic vibrators and vibration rigs for monitoring materials, structural elements and ma-
chine parts objects subjected to vibrations and large accelerations were used to detect damage [27]. The thrusting force and amplitude of oscillations in electrodynamic vibrators are discussed along with broadening of the frequency range.

The results found in reference [28] identified single damage events as stiffness loss, connection loosening and lump mass addition. Two methods of damage assessment based on a relationship between modal strain energy and measured modal properties tailored to single damage cases [29] were used. Damage approach prediction in beam and plate structures with initiated damage were presented [30]. The results provided the basis for the development of diagnostics algorithms. The location and severity of a single damaged element in a simulated planar truss were determined by minimizing the square of the Residual Force Vector (RFV) [31].

In the present work, a new damage identification method is applied to a composite beam structure using genetic algorithm and particle swarm optimization. By introducing the proper orthogonal decomposition with radial basis function, a reduced model is built, the calculation of cost function is minimized and more accurate results are obtained.

A finite element model of bi-dimensional monolithic beam reinforced by a graphite-epoxy discretized into 10 elements is used to generate vibration data. The damage resulted in reduction of stiffness with levels of 5% and 25%, which are placed in different positions. A comparative study between both results of (GA) and (PSO) using finite element method indicates that PSO is better than GA. However, the calculation of PSO takes a longer time, with small error between the real and estimated damage. The PSO with POD is better than PSO with FEM algorithm to detect and localize damage with higher accuracy and shorter computational time. The effect of noise in this methodology is considered in some cases by assigning noises to natural frequencies.

2. Theoretical background

2.1. Proper orthogonal decomposition (POD) with radial basis functions (RBF)

POD with Radial Basis Functions (RBF) is used for the interpolation of the data with previously reduced dimensionality. A group of responses for a given system can be very effectively compressed using the theory of a separate POD. This compression allows for a significant reduction of the dimensionality. To make it clear, let us recall that our goal is to define an approximation that should be used instead of FE simulations. Therefore, we wish to find a function that depends on some parameters collected in vector $p$ such that:

$$f(p) = u$$  \hspace{1cm} (1)

In Eq. (1), vector $u$ collects the required output of the system and represents the frequency vector of a damaged beam modelled using FEA.

However, since we already constructed a low order approximation of these responses, they can be represented in the new truncated system by the matrix of amplitudes. This practically means that RBF can be applied in already reduced dimensionality, where responses are expressed as amplitudes, and therefore the function we are looking for is in the following form:

$$fa(p) = \bar{a}.$$ \hspace{1cm} (2)

The relationship previously defined between the responses expressed in the reduced and full dimensionality holds for the functions $f$ and $fa$. Thus we can write:

$$f(p) = \bar{\Phi} \cdot fa(p) = u.$$ \hspace{1cm} (3)

Applying the Radial Basis Functions (RBF) technique, the approximation of $fa$ is written as a linear combination of some basis functions $g_i$, i.e.:

$$fa(p) = \left[ \begin{array}{c} b_{h_1} \\ \vdots \\ b_{h_k} \\ b_{k_1} \\ \vdots \\ b_{k_N} \\ b_{k_N} \end{array} \right] \left[ \begin{array}{c} g_1(p) \\ \vdots \\ g_2(p) \end{array} \right] = B \cdot g_i(p).$$ \hspace{1cm} (4)

Or written in matrix form:

$$fa(p) = \left[ \begin{array}{cccc} b_{h_1} & b_{k_1} & \cdots & b_{k_N} \\ b_{h_2} & b_{k_2} & \cdots & b_{k_N} \\ \vdots & \vdots & \ddots & \vdots \\ b_{h_N} & b_{k_1} & \cdots & b_{k_N} \end{array} \right] \left[ \begin{array}{c} g_1(p) \\ \vdots \\ g_i(p) \end{array} \right] = B \cdot g_i(p).$$ \hspace{1cm} (5)

Similar to other examples of Radial Basis Functions (RBF) interpolation, after the basis functions are chosen, we need to solve for the interpolation coefficients collected in matrix $B$.

Having in mind that the values of the function $fa$ to be approximated are collected in the matrix of amplitudes $A$ in the reduced space. This leads to the following equation:

$$B \cdot G = \bar{A}.$$ \hspace{1cm} (6)

Eq. (6) is solved for unknown matrix $B$, and then finally, by combining Eqs. (5) with (3), we arrive to the required general formula for the approximation of the system response for arbitrary parameter combination, which is:

$$u \approx \bar{\Phi} \cdot B \cdot g_i(p).$$ \hspace{1cm} (7)

Eq. (7) was derived by performing the Radial Basis Functions (RBF) interpolation of the system responses in the reduced space, which is represented by amplitude matrix $\bar{A}$ and further transformed by pre-multiplying it by reduced POD basis by [32].

2.2. Genetic algorithm (GA)

The Genetic Algorithm (GA) is an evolutionary optimization method, used efficiently for different kinds of optimization problems in last decades [33]. In our study, 10 individuals, also called chromosomes, represent the two damage parameters of position and severity, are converted.
to binary encoding. The population evolves toward better solution iteratively in a process inspired from the natural evolution, where they are allowed to cross among themselves in order to obtain favorable solutions. The fitness is the objective function value, calculated in Eq. (10), as it will be explained latter under section 3. The best feasible solutions have higher probability to be chosen as parent of new individuals, where the properties of the parents are combined by exchanging chromosomes parts, to produce two new designs. Afterwards, the mutation is performed by randomly replacing the digits of a randomly selected chromosome. These basic operators are repeated to create the next generations until the maximum number of iterations is reached [34].

2.3. Particle Swarm Optimization (PSO)

The Particle Swarm Optimization (PSO) is a method inspired by the behavior of different kinds of flocks (birds, bees, fishes, etc.), which is characterized by distinct social and psychological principles. These principles lead the flock to adapt its physical movement towards food seeking in a particular way, which ensures both the speed of the quest and the avoidance of potential adversities, such as hostile predators. This method has been given considerable attention in recent years among the optimization research community.

It is pretty clear that PSO is a population based optimization method built on the premise that social sharing of information among the individuals can provide an evolutionary advantage. The fact that, as an optimization method based on population data, PSO requires a relatively small number of parameters, reduces the computational cost and facilitates the implementation of the algorithm. Due to its simple implementation, PSO can be used in both simple and large-scale optimization problems. Therefore, PSO has been a rather attractive optimization method in scientific circles.

The algorithm was first proposed by Kennedy and Eberhart. PSO has been used widely in the recent years and has been modified in a variety of versions that can handle the majority of optimization problems with or without the presence of constraints.

According to the PSO method, a random population of candidate solutions is considered to be a particle moving through the multi-dimensional design space in search of the position of the global minimum. The particles coexist and cooperate with each other to achieve this position. Every particle can be characterized by its physical position in the design space and its speed of movement. Furthermore, each particle has the ability to remember the best position it has passed so far or personal best (Pbest, Eq. (8)) and the best position that any other particle of the swarm has passed so far or global best (G_best, Eq. (9)). In every iteration the speed of the particle is updated in a stochastic way. Finally, the old and new speed vectors are used in order to update the position of the particle in an iterative manner [35].

The update equations for the speed and the position of the particles are in the following form:

\[
\{v'(t+1)\} = \{v'(t)\} + c_1 \{r_1\} \{x^{pb}\} - \{x'(t)\} + \\
+ c_2 \{r_2\} \{x^{gb}\} - \{x'(t)\}. \tag{8}
\]

\[
\{x'(t+1)\} = \{x'(t)\} + v'(t+1).
\]

2.4. Description of test structure

We consider a clamped free beam of pure unidirectional composite of AS4/3501-6 graphite-epoxy materials with symmetrical order of layers. The finite element model is discretized in 10 elements as shown in Fig. 1. Each node of the finite element has three degrees of freedom, normal displacement w along the z-axis, rotation γ around the y-axis and longitudinal displacement u along the x-axis. Since the beam is macroscopically considered homogeneous, the shear correction coefficient is the same as for isotropic beam, i.e. \(K_{Correction} = 5/6\) [36].

The material properties and beam dimensions of AS4/3501-6 graphite epoxy [37] are given in Table 1.

### Table 1

<table>
<thead>
<tr>
<th>Ply property</th>
<th>Mean value</th>
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</thead>
<tbody>
<tr>
<td>Length, m</td>
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<tr>
<td>Width, m</td>
<td>0.03</td>
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<tr>
<td>Thickness, m</td>
<td>0.005</td>
</tr>
<tr>
<td>Longitudinal modulus, GPa</td>
<td>141.96</td>
</tr>
<tr>
<td>Transverse Shear modulus, GPa</td>
<td>6</td>
</tr>
<tr>
<td>Density, kg.m-3</td>
<td>1600</td>
</tr>
<tr>
<td>Poisson’s ratio (\nu)</td>
<td>0.42</td>
</tr>
<tr>
<td>(K_{Correction})</td>
<td>5/6</td>
</tr>
</tbody>
</table>

![Beam structure of unidirectionally reinforce graphite-epoxy beam](image)

**Fig. 1** Beam structure of unidirectionally reinforce graphite-epoxy beam

3. Objective function

A practical procedure implemented in a stand-alone software was developed based on two different optimization algorithms: particle swarm optimization and Genetic Algorithm. The detection and localization task were maintained as an inverse identification problem, where the two parameters of damage position and its level are calculated through the fitness Eq. (10) where the \(\omega^r\) is the frequency of the controlled beam, and \(\omega^s\) is the frequency calculated using the proper orthogonal decomposition and finite element method:

\[
Fitness = \sum^n \left( \frac{(\omega^r - \omega^s)^2}{\omega^s} \right). \tag{10}
\]

3.1. Optimization parameters

In this study, we address the problem for damage detection and localization in the beam by Genetic Algorithm (GA) and particle swarm optimization (PSO) using FEM and new approach for damage detection and localiza-
tion using Proper Orthogonal Decomposition (POD). The particle swarm optimization (PSO) and genetic algorithm (GA) methods were used to minimize the fitness function. In PSO, coordinates of the particles in a two-dimensional space are the unknown parameters for damage level and position, using 100 particles. In a second approach, each of the 100 individuals contains two chromosomes representing the required damage parameters. The maximum number of iteration is set equal to 200.

After several applications, a crossover coefficient of 0.8 and mutation of 0.1 were used in the GA parameters, while \( C_1 = C_2 = 2.0 \) considered in the PSO method.

3.2. POD-RBF Based damage detection technique

During the optimization process, we noticed many approaches that are used to detect and locate damage in beam-like structures using finite element method with optimization techniques. Generally, these techniques require long computation time because each iterative optimization method requires the calculation of location and level of damage. However, our POD approach with RBF can converge in a very short time, while it provides a solution with high accuracy. To build a reduced model by POD-RBF method, 250 FEM results of the studied structure were produced by varying the damage level from 0% to 50% using a step of 2% in each of the 10 elements of the beam. The output parameters considered for the damage identification process are the first five natural frequencies.

The inverse problem is solved using PSO algorithm. The Methodological approach to the damage detection and localization problems is illustrated by a flow chart as shown in Fig. 2.

4. Results and discussion

The damage of beam structure was simulated by reducing the stiffness of selected elements by varying amounts using FEM. The largest damage (level 1) consists of 25% stiffness reduction at the center of damage region followed by 5% reduction along length of the beam structure. Three different damage locations were studied, namely, near fixed end (D1), center of the plate (D2), and near free edge (D3), as shown in Fig. 3. A modal analysis was performed to determine the natural frequencies of the same beam structure and damage cases D1, D2, and D3.

![Damage locations D1 (center), D2 (fixed end), and D3 (free end)](image)

Fig. 3 Damage locations D1 (center), D2 (fixed end), and D3 (free end)

4.1. Damage detection and localization by genetic algorithm and particle swarm optimization using FEM

GA and PSO were used to identify the parameters of the three considered damage scenarios D1, D2 and D3, for damage located at the 2, 5 and 8 elements, respectively with a damage severity of 5 and 25%. The error between real damage and estimated damage by GA and PSO is calculated. A comparison of fitness evolution from three runs of both algorithms is shown in Figs. 4, 5 and 6. The comparison of damage location with GA and PSO are given in the Table 3.

![Fitness convergence of GA and PSO using FEM](image)

Fig. 4 Fitness convergence of GA and PSO using FEM (D1): a - damage 5%; b - damage 25%
From Figs. 4 to 6 and Table 2, it can be seen that good results are obtained using both PSO and GA algorithms, however PSO is more accurate and faster than GA for the detection and localization of damage. It is noticed that the errors in GA were considerably higher than the errors in PSO. It should be noted that GA, manipulates different mechanisms than PSO. In GA, chromosomes share information with each other so that the whole population moves like one group towards an optimal area. However, the PSO has one way information sharing mechanism, i.e. only $x^G$, which gives out the information to others. The optimization process takes a long time and sometimes the first operation doesn't give the best results, even though several attempts have been made to get the desired results.

### Table 2

<table>
<thead>
<tr>
<th>Damage element with Stiffness reduction (%)</th>
<th>Methods</th>
<th>Damage element</th>
<th>Stiffness reduction</th>
<th>Error % Damage element</th>
<th>Error % Stiffness reduction</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1-2-5</td>
<td>GA</td>
<td>2.011</td>
<td>4.997</td>
<td>0.011</td>
<td>0.002</td>
</tr>
<tr>
<td></td>
<td>PSO</td>
<td>1.999</td>
<td>4.998</td>
<td>0.001</td>
<td>0.002</td>
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<tr>
<td>D2-15-5</td>
<td>GA</td>
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<td>4.957</td>
<td>0.007</td>
<td>0.043</td>
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<tr>
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<tr>
<td>D3-8-5</td>
<td>GA</td>
<td>7.998</td>
<td>5.000</td>
<td>0.002</td>
<td>0</td>
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</tr>
<tr>
<td>D1-2-25</td>
<td>GA</td>
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<td>24.995</td>
<td>0.023</td>
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</tr>
<tr>
<td></td>
<td>PSO</td>
<td>1.999</td>
<td>24.998</td>
<td>0.001</td>
<td>0.002</td>
</tr>
<tr>
<td>D2-5-25</td>
<td>GA</td>
<td>4.995</td>
<td>24.957</td>
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<tr>
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<td>24.999</td>
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<tr>
<td>D3-8-25</td>
<td>GA</td>
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<td>24.9600</td>
<td>0.042</td>
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<td></td>
<td>PSO</td>
<td>5.010</td>
<td>25.001</td>
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<td>0.001</td>
</tr>
</tbody>
</table>

### 4.2. Damage detection and localization by Particle Swarm Optimization using POD

The proper orthogonal decomposition method (POD) with radial basis function is a well-known model reduction method based on results of the studied phenomenon called the snapshot method. To build a corresponding model of our damaged beam, a snapshot represents a collection of 250 measurements $u$ (see Eq. (1)) of different damage levels [1-25%] and positions [1-10 element] were considered and the corresponding frequencies are calculated using FEM.

PSO with POD and PSO with FEM were used to identify the parameters of the three considered damage scenarios D1, D2 and D3 located at the 2, 5 and 8 elements, respectively, with a damage severities of 5 and 25%. The error between real damage and estimated damage is calculated. A comparison of fitness evolution for the three damage scenario of both algorithms is shown in Figs. 7 to 9. The comparison with both approaches is also given in the Table 3.

### Table 3

<table>
<thead>
<tr>
<th>Damage element with Stiffness reduction (%)</th>
<th>Identification method</th>
<th>Damage element</th>
<th>Stiffness reduction</th>
<th>Error % Damage element</th>
<th>Error % Stiffness reduction</th>
</tr>
</thead>
<tbody>
<tr>
<td>D1-2-5</td>
<td>PSO-FEM</td>
<td>2.011</td>
<td>4.997</td>
<td>0.011</td>
<td>0.002</td>
</tr>
<tr>
<td></td>
<td>PSO-POD</td>
<td>2.000</td>
<td>5</td>
<td>0.000</td>
<td>0.000</td>
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<tr>
<td>D2-5-5</td>
<td>PSO-FEM</td>
<td>4.993</td>
<td>4.957</td>
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<tr>
<td></td>
<td>PSO-POD</td>
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<td>D3-8-5</td>
<td>PSO-FEM</td>
<td>7.998</td>
<td>5.000</td>
<td>0.002</td>
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<tr>
<td></td>
<td>PSO-POD</td>
<td>8.000</td>
<td>5.000</td>
<td>0.000</td>
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<tr>
<td>D1-2-25</td>
<td>PSO-FEM</td>
<td>2.023</td>
<td>24.995</td>
<td>0.023</td>
<td>0.005</td>
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<tr>
<td></td>
<td>PSO-POD</td>
<td>2.000</td>
<td>25.0000</td>
<td>0.000</td>
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<tr>
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<tr>
<td>D3-8-25</td>
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<td>PSO-POD</td>
<td>8.000</td>
<td>25.0000</td>
<td>0.000</td>
<td>0.000</td>
</tr>
</tbody>
</table>
where system responses are computed by FEM, to solve this current damage detection problem, would require about one hour on an average computer. Moreover, sometimes the desired results may not be obtained. However, using previously calibrated POD-RBF procedure, the results are obtained in a bit more than 50 s for the first iteration.

In order to investigate the effect of noise on our damage detection techniques, White Gaussian noise was added to previous results. To test the accuracy of the method using PSO technique, the reference data of the second damage scenario (D2) was manipulated to find natural frequencies, when we consider noise levels of 5%, 10%, 25% and 50% as shown in Table 4.

<table>
<thead>
<tr>
<th>Damage scenario</th>
<th>Damage element</th>
<th>Stiffness reduction (%)</th>
<th>Noise (%)</th>
<th>Damage element with noise</th>
<th>Stiffness reduction (%) with noise</th>
</tr>
</thead>
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<tr>
<td>D2</td>
<td>6</td>
<td>15</td>
<td>5</td>
<td>5.99</td>
<td>14.987</td>
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<tr>
<td>D2</td>
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<td>5</td>
<td>5.978</td>
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<tr>
<td>D2</td>
<td>6</td>
<td>15</td>
<td>10</td>
<td>5.974</td>
<td>14.968</td>
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<tr>
<td>D2</td>
<td>6</td>
<td>15</td>
<td>15</td>
<td>5.970</td>
<td>14.962</td>
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</tbody>
</table>

In Table 4, we compare damage positions and severities, after introducing a perturbation level of 1%, 5%, 10% and 15%. For the cases of 1%, 5%, and 10% noise, we note that there no significant difference in the estimated damage levels. However, at a level of 15% noise, the difference becomes notable.
6. Conclusion

An approach for detecting and locating damage in beam structures based on model reduction has been investigated at a numerical level using Genetic Algorithm (GA) and particle swarm optimization (PSO) methods to determine damage severities and positions. The results of finite element method (FEM) of the single damaged beam were used to build the snapshot matrix, essential for building a lower order model by the proper orthogonal decomposition. The frequencies of the controlled beam were considered as references in our study.

In the first part of this paper, we run inverse computation using FEM together with PSO and GA, applied to various damage scenarios. The results, in the first part of this study, have shown that PSO using FEM is favorable than GA in damage detection and localization. However, the process takes a considerable amount of time, and requires several iterations to get the desired results. In the second part of this study, we used proper orthogonal decomposition POD with radial basis function RBF to replace FEM in PSO optimization process. The results were found in a very short computing time with high precision compared to FEM-PSO technique. The efficiency of the approach was tested using data with different noise levels.

References

25. Morassi, A. 2001. Identification of a crack in a rod based on changes in a pair of natural frequencies,
This paper presents an approach of inverse damage detection and localization based on model reduction. The problem is formulated as an inverse problem where an optimization algorithm is used to minimize the cost function expressed as the normalized difference between a frequency vector of the tested structure and its numerical model. A finite element model of bi-dimensional monolithic composite beam reinforced by a graphite-epoxy is used to define a numerical model of the tested structure in which different scenarios of damage are considered by stiffness reduction. Then, calculations are made on a reduced model built by the technique of proper orthogonal decomposition coupled by radial basis functions. The accuracy of the method is verified through different damage configurations. The results show that the developed algorithm is a feasible methodology of predicting damage in short computing time and with high accuracy. The effect of noise on the accuracy of the results is investigated in some cases for the structure under consideration.

**Keywords:** Damage detection, localization, beam structure, natural frequencies, eigenvectors, noise, reduced model, and optimization methods.

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